**PATENT** 

etitioner's Docket No. 560.02-US1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of: Mahant, Vijay K.; Doneen, Byron A.

Group No.:

1743

Application No.:

09/261,068

Examiner:

unknow

Filed:

March 2, 1999

For:

Methods and Apparatus for Separation

of Biological Fluids

**Box DD** 

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))** 

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Robert D. Fish Reg. No. 33,880

Attorney for Applicant Crockett & Fish 1440 N. Harbor Blvd.

Suite 706

Fullerton, CA 92835 Tel: (714) 449-2337

Fax: (714) 449-2339

**CERTIFICATE OF MAILING (37 CFR 1.8a)** 

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as Express Mail, with Express Mailing Label No. £13/5365310 US, in an envelope addressed to the Commissioner of Patents and Trademarks, Box New Application, Washington, DC 20231.

Collene Houston

PATENT Attorney Docket No.: 560.02-US1

UNITED STATE PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

In re application of: Vijay K. Mahant, Byron A.

Doneen

Serial No: 09/261,068

Filed: 03/02/99

For: Methods and Apparatus

for Separation of Biological

Fluids

Group: 1743

Examiner:

TECH CENTER 1600/2900

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. 1.56 to inform the United States Patent and Trademark Office of all references coming to the attention of the Applicant(s) or attorneys or agents for Applicant(s) which are or may be material to the examination of the subject application, attorneys for the Applicant(s) hereby invite the Examiner's attention to the references listed in the accompanying PTO Form 1449 entitled "List of References Cited".

This submission is understood to complement the results of the Examiner's own independent search. The submission of this Disclosure Statement should not be construed as a representation that a search was made, or that the cited items are inclusive of all relevant and material citations that may be available publicly.

The citation of any item is not an admission that the item is "prior art" against the subject application. The right is reserved to antedate any item according to standard procedures. The Applicant(s) wish to direct the Examiner s attention to the following matters:

Ref: U.S.Pat. 5,766,552; Doshi, et al., teach an apparatus for red blood cell separation, in which red blood cells are removed by agglutinating whole blood with a mixture of free agglutinating agent and nucleating particles having agglutinating agent intimately associated therewith to form clusters of red blood cells. Optionally, an additive may be added to further enhance agglutination. The formed clusters of red blood cells are removed by filtration though a porous medium. The filter may optionally contain additional agglutination agent.

The presently filed claims are patentable over Doshi, et al. in that claim 1, and claims 2-21 by virtue of their dependency on claim 1, require a step of "receiving the sample in a vessel". Doshi, et al. do not teach or suggest the use of a vessel. Claim 1 and claims 2-21, by virtue of their dependency on claim 1, also require "separating the network from the substantially cell depleted portion at least in part using a magnetic force". Doshi, et al. do not teach or suggest the use of a magnetic force to separate the blood cells from the blood sample.

Ref: U.S.Pat. 4,663,029; Kelland teaches a method and apparatus for a continuous magnetic separation, wherein particles in a slurry are continuously separated in accordance with their magnetic susceptibility and their size by passing the slurry through a separator. The separator comprises a non-magnetic canister with a magnetized wire or rod extending adjacent to the canister.

The subject matter recited in the presently filed claims is patentable over Kelland et al. in that claim 1 and claims 2-21, by virtue of their dependency on claim 1, require a step of

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"combining the sample, an additive, and a plurality of particles, each of the additive, the particles

and the cells having a substantial binding to another of the additive, the particles and the cells to

produce a cell containing network". Kelland does not teach or suggest the use of an additive and

particles to produce a cell containing network. Kelland even teaches against forming a cell

containing network, by describing a method in which "particles in a slurry are continuously

separated" (column 2, line 52-52), (column 3, line 46-50).

Applicant(s) respectfully request that the Examiner review the foregoing references, as

set forth in the Form PTO-1449, and that they be made of record in the file history of the above-

captioned application.

Respectfully submitted,

Crockett and Fish

Dated: 5/11/99

Robert D. Fish

Reg. No. 33,880

Attorneys for Applicant(s)

1440 N. Harbor Blvd., Suite 706

Fullerton, CA 92835

Tel: 714-449-2337 Fax: 714-449-2339

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